

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW MEXICO**

UNITED STATES OF AMERICA,

Plaintiff/Respondent,

v.

**No. CV 16-0339 JAP/LAM
CR 09-1250 JAP**

PAUL BARELA,

Defendant/Movant.

PROPOSED FINDINGS AND RECOMMENDED DISPOSITION¹

THIS MATTER is before the Court on Defendant/Movant's (hereinafter "Defendant") § 2255 Motion [*Doc. 6*],² filed on June 12, 2016. Plaintiff/Respondent (hereinafter "the Government") filed a response on September 9, 2016 [*Doc. 13*], and Defendant filed a reply on October 14, 2016 [*Doc. 16*]. United States District Judge James A. Parker referred the claims raised in this case to the undersigned for proposed findings and a recommended disposition, and a hearing, if necessary. [*Doc. 2*]. Having considered the motion, response, reply, relevant law, and the record in this case and in Defendant's underlying criminal case contained in Case

¹ Within fourteen (14) days after a party is served with a copy of these proposed findings and recommended disposition, that party may, pursuant to 28 U.S.C. § 636(b)(1), file written objections to such proposed findings and recommended disposition. A party must file any objections with the clerk of the United States District Court for the District of New Mexico within the fourteen (14) day period allowed if that party wants to have appellate review of the proposed findings and recommended disposition. If no objections are filed, no appellate review will be allowed. Pursuant to Fed. R. Civ. P. 72(b)(2), a party may respond to another party's objections within fourteen (14) days after being served with a copy of the objections.

² Hereinafter, all documents from Case No. CIV-16-0339 cited in this decision will be designated as "*Doc.*" followed by their docket number, and all documents from Case No. CR-09-1250 cited in this decision will be designated as "*Cr.Doc.*"

No. CR-09-1250, the undersigned recommends, for the reasons set forth below, that Defendant's § 2255 motion [*Doc. 6*] be **GRANTED** and that Defendant be **RESENTENCED**.

Factual and Procedural Background

On March 8, 2010, pursuant to a Plea Agreement [*Cr.Doc. 41*], Defendant pled guilty to an Indictment [*Cr.Doc. 11*] charging him with one count of being a felon in possession of a firearm and ammunition, in violation of 18 U.S.C. §§ 922(g)(1) and 924(a)(2). The parties state that Defendant was subject to an enhanced sentence under the Armed Career Criminal Act ("ACCA"), 18 U.S.C. § 924(e), which mandates a minimum sentence of 180 months (15 years). *See* [*Doc. 6* at 2] and [*Doc. 13* at 1].³ The parties state that Defendant was subject to the ACCA based on his convictions for conspiracy to commit aggravated battery, commercial burglary (two convictions), aggravated battery, and residential burglary. *See* [*Doc. 6* at 2-3] and [*Doc. 13* at 1-2]. Defendant contends that, without the ACCA designation, he would have been subject to a Sentencing Guidelines range of 100 to 120 months. *See* [*Doc. 6* at 2]. On March 8, 2010, the judge who was then presiding in Defendant's criminal case imposed a 180-month sentence, with 3 years of supervised release. *See* [*Cr.Doc. 43* at 2-3].

In his § 2255 motion, Defendant contends that his prior conviction for conspiracy to commit aggravated battery should never have been used as an ACCA predicate offense, and that his prior convictions for commercial burglary no longer qualify as convictions for a crime of violence pursuant to the holding of *Johnson v. United States*, 135 S. Ct. 2551 (2015), and, therefore, cannot be used to enhance his sentence. [*Doc. 6* at 3]. Defendant asks the Court to vacate his ACCA sentence and resentence him. *Id.* at 15.

³ While both parties cite to Defendant's Presentence Report ("PSR"), the PSR has not been filed in either Defendant's civil or criminal cases, and neither party has provided the Court with a copy of the PSR. Nevertheless, since the parties do not dispute the information in the PSR, the Court will rely on the parties' statements in their briefs regarding the content of the PSR.

Discussion

Under the ACCA, an individual who violates § 922 (g) (*e.g.*, being a felon in possession of a firearm or ammunition), and who has “three previous convictions . . . for a violent felony or a serious drug offense,” will receive a mandatory, minimum 15-year sentence. 18 U.S.C. § 924(e).

The statute defines the term “violent felony” as:

[A]ny crime punishable by imprisonment for a term exceeding one year, or any act of juvenile delinquency involving the use or carrying of a firearm, knife, or destructive device that would be punishable by imprisonment for such term if committed by an adult, that--

(i) has as an element the use, attempted use, or threatened use of physical force against the person of another; or

(ii) is burglary, arson, or extortion, involves use of explosives, or *otherwise involves conduct that presents a serious potential risk of physical injury to another . . .*

18 U.S.C. § 924(e)(2)(B)(i)-(ii) (emphasis added). The emphasized clause is referred to as the “residual clause,” and in *Johnson* the Supreme Court held that the residual clause “denies fair notice to defendants and invites arbitrary enforcement by judges,” and, therefore, violates the due process clause of the Constitution. *Johnson*, 135 S.Ct. at 2557.

Defendant contends that his prior conviction for conspiracy to commit aggravated battery should never have been used as an ACCA predicate offense, and that his prior convictions for commercial burglary no longer qualify as convictions for a crime of violence pursuant to the holding of *Johnson*, and, therefore, cannot be used to enhance his sentence. *See [Doc. 6 at 3]*. Defendant contends that his commercial burglary convictions no longer qualify as violent felonies under the residual clause of the ACCA because that clause was found to be unconstitutional in *Johnson*. *Id.* at 4-5. Defendant further contends that his convictions for commercial burglary do

not fall under the ACCA's elements, or force, clause because the statute under which he was convicted, N.M.S.A. 1978 § 30-16-3, does not contain as an element the use, attempted use, or threatened use of physical force against another person. *Id.* at 6. Finally, Defendant contends that his commercial burglary convictions do not fall under the ACCA's enumerated clause, because the meanings of "structure" and "entry" at the time of Defendant's convictions were much broader than federal, generic law regarding the definitions of those terms. *Id.* at 7-13. Defendant contends that the Court should apply the modified categorical approach, and that the charging documents for his commercial burglary convictions do not identify whether he "entered" into a "structure" in a manner recognized by the federal, generic definition of burglary, so those convictions cannot be used to support an ACCA sentence. *Id.* at 9 and 13-15.

In response, the Government concedes that Defendant's conspiracy conviction does not qualify as a crime of violence for purposes of the ACCA. *See [Doc. 13 at 2 and 3]*. However, the Government contends that Defendant's convictions for commercial burglary qualify as ACCA-predicate offenses. *Id.* at 5. The Government contends that the subsection of the burglary statute under which Defendant was convicted, N.M.S.A. § 30-16-3(B), "contains alternatives which encompass both generic and non-generic burglary" (*id.* at 7), and that, pursuant to *Mathis v. United States*, 136 S.Ct. 2243 (2016), this section is divisible, and the relevant element for Defendant's convictions is burglary of a *structure*, which is not more broad than the federal, generic definition (*see id.* at 9-11). Therefore, the Government argues that Defendant's commercial burglary convictions fall under the ACCA's enumerated clause and maintains that Defendant was correctly classified as an armed career criminal. *Id.* at 11.

In reply, Defendant disputes the Government's reading of *Mathis*, and contends that *Mathis* provides that the Court can look at Defendant's criminal record documents to determine

under which of the two subsections of § 30-16-3 Defendant was convicted (*i.e.*, (A) or (B)), but that the statute is not further divisible, and that commercial (or non-residential) burglary under subsection B is broader than generic burglary, so it cannot be used as an ACCA-predicate offense. *See [Doc. 16 at 2-3 and 6]*. Defendant further contends that his criminal record documents fail to establish whether the “entry” or “structure” used in his charging documents satisfy the generic definition. *See id.* at 9-12. In addition, on November 30, 2016, Defendant filed a ***Notice of Supplemental Authority*** (*Doc. 17*), notifying the Court that, in *United States v. Jimenez*, Case No. 03-CR-1832 RB and 16-CV-661 RB/SMV, the Magistrate Judge had entered a report and recommendation finding that non-residential burglary under the New Mexico statute does not qualify as a crime of violence for ACCA purposes. On January 11, 2017, Defendant filed a ***Second Notice of Supplemental Authority*** (*Doc. 18*), stating that the presiding judge in that case had adopted the Magistrate Judge’s report and recommendation.

Based on the foregoing, the Court must determine whether Defendant’s convictions for commercial⁴ burglary fall under the enumerated clause of the ACCA, § 924(e)(2)(B)(ii).⁵ To determine whether a past conviction qualifies as one of the offenses enumerated at § 924(e)(2)(B)(ii), courts compare the elements of the crime of conviction with the elements of the federal generic version of the listed offense. *See Mathis*, 136 S.Ct. at 2248. “To determine whether a prior conviction is for generic burglary (or other listed crime) courts apply what is known as the categorical approach: They focus solely on whether the elements of the crime of conviction sufficiently match the elements of generic burglary, while ignoring the particular facts

⁴ The Court uses the terms “commercial” and “non-residential” burglary interchangeably.

⁵ The Government does not dispute Defendant’s contentions that his commercial burglary convictions do not fall under the “elements” (or “force”) clause of the ACCA (§924(e)(2)(B)(i)), or that they no longer qualify under the residual clause pursuant to the holding in *Johnson* (*see Doc. 6 at 3 and Doc. 13 at 2*), and the Court finds no reason to hold otherwise.

of the case.” *Id.* (citing *Taylor v. United States*, 495 U.S. 575, 600-01 (1990)). A prior conviction qualifies as an ACCA-predicate offense “if its *elements* are the same as, or narrower than, those of the generic offense.” *Id.* However, “if the crime of conviction covers any more conduct than the generic offense, then it is not an ACCA ‘burglary’ -- even if the defendant’s actual conduct (*i.e.*, the facts of the crime) fits within the generic offense’s boundaries.” *Id.* The generic definition of burglary is “an unlawful or unprivileged entry into, or remaining in, a building or structure, with intent to commit a crime.” *Taylor*, 495 U.S. at 599. Thus, when a burglary statute is broader than the generic definition, then the statute may not be used as an ACCA-qualifying predicate offense under the enumerated clause. *See, e.g., Descamps v. United States*, 133 S.Ct. 2276, 2282-83 (2013) (finding that California’s burglary statute, which provides that a “person who enters” certain locations “with intent to commit grand or petit larceny or any felony is guilty of burglary,” is broader than federal generic burglary because it criminalizes entering a location, even lawfully, with the intent to steal, whereas generic burglary requires an unlawful or unprivileged entry).

When a statute has a “divisible” structure, whereby it lists elements in the alternative and defines multiple crimes, a court must discern which of the alternative elements listed was necessary for the defendant’s conviction. *See Mathis*, 136 S.Ct. at 2249. To do so, courts use a “modified categorical approach,” under which a court “looks to a limited class of documents (for example, the indictment, jury instructions, or plea agreement and colloquy) to determine what crime, with what elements, a defendant was convicted of.” *Id.* (citations omitted). “The court can then compare that crime, as the categorical approach commands, with the relevant generic offense.” *Id.* When faced with an “alternatively phrased” statute which “enumerates various factual means of committing a single element” (*id.*), a court must “determine whether [the statute’s] listed items are elements or means” (*id.* at 2256). “If they are elements, the court should . . . review the record materials to

discover which of the enumerated alternatives played a part in the defendant's prior conviction, and then compare that element (along with all others) to those of the generic crime." *Id.* (citation omitted). However, if the listed items "are means [of committing a single element], the court has no call to decide which of the statutory alternatives was at issue in the earlier prosecution," and "[g]iven ACCA's indifference to how a defendant actually committed a prior offense, the court may ask only whether the *elements* of the state crime and generic offense make the requisite match." *Id.*

To determine whether a statute lists elements or means, a court should look to see if state court decisions are of assistance, as the Supreme Court did in *Mathis* when it looked to an Iowa Supreme Court decision regarding Iowa's burglary law, which held that the various premises listed in the burglary statute at issue were "alternative methods of committing one offense, so that a jury need not agree whether the burgled location was a building, other structure, or vehicle." *Id.* (citing *Iowa v. Duncan*, 312 N.W.2d 519, 523 (Iowa 1981) (internal quotation marks and brackets omitted). The Supreme Court stated that "[w]hen a ruling of that kind exists, a sentencing judge need only follow what it says." *Id.* (citation omitted). In addition, the Supreme Court instructs that "the statute on its face may resolve the issue," and that "[i]f statutory alternatives carry different punishments, then . . . they must be elements," but "if a statutory list is drafted to offer illustrative examples, then it includes only a crime's means of commission." *Id.* (citations and internal quotations marks omitted). Finally, if state law fails to provide clear answers, the Supreme Court states that the court may "peek" at the record of a prior conviction itself for "the sole and limited purpose" of determining whether the listed items are means or elements. *Id.* at 2256-57 (citation and internal quotation marks omitted).

The burglary statute at issue in this case reads:

Burglary consists of the unauthorized entry of any vehicle, watercraft, aircraft, dwelling or other structure, movable or immovable, with the intent to commit any felony or theft therein.

A. Any person who, without authorization, enters a dwelling house with intent to commit any felony or theft therein is guilty of a third degree felony.

B. Any person who, without authorization, enters any vehicle, watercraft, aircraft or other structure, movable or immovable, with intent to commit any felony or theft therein is guilty of a fourth degree felony.

N.M.S.A. 1978 § 30-16-3. The alternative elements in this statute are separated into subsections A and B, wherein subsection A is burglary of a “dwelling house,” a third degree felony, and subsection B is non-residential (or commercial) burglary, a fourth degree felony. Because this statute is divisible into these two elements, the Court may examine the state court documents that were used to convict Defendant in order to determine whether Defendant was convicted under subsection A or subsection B. See *Mathis*, 136 S.Ct. at 2249 (describing the modified categorical approach). The charging documents indicate that Defendant was charged with commercial burglary under § 30-16-3(B). See [*Doc 6* at 20] (criminal information alleging burglary contrary to § 30-16-3(B)), and *id.* at 33 (indictment alleging burglary contrary to § 30-16-3(B)).

Next, the Court must compare subsection B with the federal generic definition of burglary to determine whether § 30-16-3(B) is broader than the federal, generic definition. The federal, generic definition of burglary is “an unlawful or unprivileged entry into, or remaining in, a building or other structure, with intent to commit a crime.” *Taylor*, 495 U.S. at 599. Since the New Mexico definition of non-residential burglary encompasses unlawful entries into more than just “buildings or other structures,” the Court finds that New Mexico’s non-residential burglary statute is broader than the federal generic definition. Because the Court finds that New Mexico’s burglary statute is broader than generic burglary based on its inclusion of more than just buildings or structures, the Court declines to address Defendant’s contention that the definition of “entry” as used in the New Mexico burglary statute is broader than the federal definition.

While the Government concedes that “§ 30-16-3(B) is broader than generic burglary because it encompasses unlawful entry into not just a building or structure, but a ‘vehicle, watercraft, [or]

aircraft’” (*Doc. 13* at 6, citing *Mathis*, 136 S.Ct. at 2250), the Government asks the Court to find that subsection B is further divisible and, thus, satisfies the generic burglary definition (*see Doc. 13* at 7-11). Specifically, the Government contends that the charging documents for Defendant’s commercial burglary convictions “establish that the relevant element for all three convictions was burglary of a *structure* (‘Parnelli Jones Auto Center’ and ‘Giant Gas Station’) not burglary of a ‘vehicle, watercraft, [or] aircraft,’” and, thus, “these convictions were for generic burglary and either qualify as one of the three requisite convictions for the ACCA enhancement.” *Id.* at 9. The Government admits that it “has been unable to locate any New Mexico state case addressing whether the alternatives listed in § 30-16-3(B) are elements or means of committing commercial burglary” (*id.* at 7), and concedes that the statutory penalties are the same for any of the alternatives listed in subsection B (*id.*). Nevertheless, the Government argues that the alternatives listed in subsection B (“any vehicle, watercraft, aircraft or other structure, movable or immovable”) do not constitute a list of “illustrative examples,” and, thus, constitute elements which make the subsection divisible. *See id.* at 8.

To support this contention, the Government attempts to distinguish *United States v. Howard*, 742 F.3d 1334 (11th Cir. 2014), in which the Eleventh Circuit Court of Appeals held that Alabama’s burglary statute contained a non-exhaustive list of illustrative examples and, thus, was indivisible and permitted application of the categorical approach only. *See [Doc. 13* at 8-9]. In *Howard*, the Alabama statute at issue provided that “a person commits the crime of burglary in the third degree if he knowingly enters or remains unlawfully in a building with intent to commit a crime therein,” and Alabama law defined “building” with a list that “include[d] any vehicle, aircraft or watercraft used for the lodging of persons or carrying on business therein.” *See Howard*, 742 F.3d at 1348. The Eleventh Circuit looked to *Descamps*, which explains that courts must determine “whether the ‘statute sets out one or more elements of the offense in the alternative -- for example, stating that burglary involves entry into a building *or* an automobile’” (*Howard*, 742 F.3d at 1348, quoting *Descamps*,

133 S.Ct. at 2281), and concluded that “nothing in the Alabama statute suggests its definition of ‘building’ is drafted in the alternative,” but instead “includes a non-exhaustive list of things that fall under that definition” (*Howard*, 742 F.3d at 1348). The Eleventh Circuit found that the use of the word “includes” before the alternatives was significant because it indicated a “non-exhaustive list of examples to clarify the meaning of the term,” not alternative elements. *Id.* (citation and internal quotation marks omitted). Contrasting the statute in *Howard* to New Mexico’s commercial burglary statute, the Government contends that “§ 30-16-3(B) contains a definitive list of items which effectively creates several different crimes,” and that, therefore, “the Court may infer that § 30-16-3(B) contains alternative elements and is a divisible statute.” [*Doc. 13* at 9] (internal quotation marks omitted).

Based on the foregoing, the Government urges the Court to examine the charging documents to determine whether Defendant was convicted of burgling a vehicle, watercraft, aircraft, or “other structure.” *See id.* The Government further contends that if the Court concludes that it is unclear whether or not § 30-16-3(B) contains illustrative examples or alternative elements, the Court should look at the record documents to see if they reference one alternative term to the exclusion of the others. *Id.* at 9-10. The Government argues that, because the charging documents list a structure for one of the counts, and automobiles for the other counts, “the list in § 30-16-3(B) are elements that the prosecutor must prove, not alternative means to commit the crime.” *Id.* at 10 (citing *Mathis*, 136 S.Ct. at 2257). The Government contends that, because Defendant was charged with burgling a “structure,” Defendant’s convictions are consistent with the definition of generic burglary and, thus, constitute enumerated violent felonies under the ACCA. *See id.* at 11.

For the following reasons, the Court finds that subsection B is not divisible. First, the Court finds that state law supports a finding that subsection B is not divisible. As noted by Defendant, the New Mexico Supreme Court has explained that subsection B does not provide a finite list. *See* [*Doc. 16* at 2-3] (citing *New Mexico v. Office of the Public Defender ex rel. Muqqddin*,

2012-NMSC-029, __ N.M. __, 285 P.3d 622). The New Mexico Supreme Court stated in this case that § 30-16-3 “divides the crime [of burglary] into different grades, with the entry of a dwelling being a third degree felony while entry into any of the other enumerated objects being a fourth degree felony.” 2012-NMSC-029, ¶ 13. The fact that the Court described only two “grades” indicates that subsection B is not divisible.

Second, the plain text of the statute indicates that it is not divisible. As conceded by the Government (*see Doc. 13* at 7), the statutory penalties are the same for any of the alternatives listed in subsection B, which indicates that it contains a list of means, not elements. *See Mathis*, 136 S. Ct. at 2257 (explaining that courts should look at the plain language of the statute, and that, if statutory alternatives carry different punishments, then they must be elements). In addition, the plain language of the statute indicates that it is divisible into only subsections A and B, and that subsection B is not further divisible, because the state legislature separated residential burglary in subsection A from all other burglaries in subsection B.

Third, the Court agrees with Defendant that the correlative uniform jury instruction indicates that subsection B contains alternative means, not elements. *See [Doc. 16* at 4]. As the Supreme Court explained in *Mathis*, if the charging document and correlative jury instructions both use an umbrella term like “premises,” or both list the alternatives together, *e.g.*, “building, structure, or vehicle,” such construction would be “as clear an indication as any that each alternative is only a possible means of commission, not an element that the prosecutor must prove to a jury beyond a reasonable doubt.” 136 S.Ct. at 2257. Conversely, if the charging document and correlative jury instructions both reference “one alternative term to the exclusion of all others,” that could indicate “that the statute contains a list of elements, each one of which goes toward a separate crime.” *Id.* Here, the correlative jury instruction for burglary lists all of the alternatives of “[vehicle] [watercraft] [aircraft] [dwelling] [or] [other structure],” which indicates that the alternatives are only possible means of commission, and not elements that the prosecutor must prove to a jury beyond a reasonable

doubt. *See* UJI 14-1630 N.M.R.A. In addition, UJI 14-1630 N.M.R.A. only treats the term “dwelling” differently from the other places of commission of the offense by instructing the courts to give UJI 14-1631, which defines “dwelling house,” only if the charge is burglary of a dwelling house. The Court, therefore, finds that this jury instruction supports a finding that each of the alternatives in subsection B provides alternative methods of committing the single crime of non-residential burglary. *See Mathis*, 136 S.Ct. at 2250.

Finally, the Court rejects the Government’s attempt to distinguish the holding of *Howard*. The Court finds that the term in subsection B “or other structure” is similar to the word “includes” in the statute in *Howard*, and that both of these terms indicate non-exhaustive lists of alternative means by which the offense may be committed. The Court is not persuaded by the Government’s contention that subsection B contains a definitive, finite list of items that creates several different crimes.

For the reasons stated above, the Court finds that § 30-16-3(B) is divisible into subsections A (residential burglary) and B (non-residential burglary), but that subsection B is not further divisible. Therefore, the Court finds that it would not be proper to apply the modified categorical approach to the alternatives listed in subsection B. *See Mathis*, 136 S.Ct. at 2254 (explaining that the application of the modified categorical approach “is not to be repurposed as a technique for discovering whether a defendant’s prior conviction, even though for a too-broad crime, rested on facts . . . that also could have satisfied the elements of a generic offense”). Because subsection B is broader than the federal generic definition of burglary, Defendant’s convictions for commercial burglary may not be used as ACCA-predicate offenses under the enumerated clause, and Defendant should be resentenced.

Conclusion

IT IS THEREFORE RECOMMENDED, for the reasons stated above, that Defendant’s § 2255 motion [*Doc. 6*] be **GRANTED**, that the Court **VACATE** Defendant’s

sentence, **ORDER** an updated sentencing memorandum, and set this case for resentencing as soon as possible.


LOURDES A. MARTÍNEZ
UNITED STATES MAGISTRATE JUDGE